

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Florack, et al. **Examiner: Unassigned**

Serial No.: 10/574,888 Group Art Unit: Unassigned

International Application No: Docket No.: 294-248 PCT/US

PCT/NL2004/000708

Date: March 12, 2008 For: CHIMERIC CARRIER MOLECULES FOR THE PRODUCTION OF MUCOSAL VACCINES

Mail Stop PCT Attention: PCT Legal Staff

United States Patent and Trademark Office

P.O. Box 1450

Filed: April 7, 2006

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Mail Stop Sequence, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on March 12, 2008

Signature

Confirmation No.: 3362

PETITION TO REVIVE

A Notification of Abandonment was mailed by the Office on February 12, 2008. The reason stated for the Notification of Abandonment is "Sequence Listing, filed 10/03/07 could not be accepted. See attached CRFD dated 2/11/08." According to the Notice, the aboveidentified application became abandoned for failure to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495.

A Notification of Missing Requirements was mailed by the Office January 5, 2007. A response, including a sequence listing was filed February 5, 2007. A Notification of Defective Response was received on October 2, 2007, well after the six month statutory period (with extensions) running from the Notification of Missing Requirements, had expired.

On October 30, 2007 a response was filed with the Office, amending the sequence listing and providing a computer readable format (CRF). On February 11, 2008, Applicants' attorney contacted the Office for information regarding a filing receipt. Applicants' attorney noticed that the sequence listing in PAIR in the Image File Wrapper was not the sequence sent by the attorney to the Office. In order to obtain information regarding which paper

00000130 082461 10574888 03/16/2008 GFREY1

1540.00 DA 01 FC:1453

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document was sent to the Office and why the original sequence, rather than the amended

sequence was in the Image File Wrapper, attorney contacted several individuals within the

Office.

Applicants' attorney was directed to contact Mr. Scott Priebe at 571-272-0733 for

information regarding the sequence listing and problems with PAIR. After discussing the

sequence listing received, Applicants' attorney was instructed that the CRF contained the

amended sequence listing; however that new listing contained two new errors.

Mr. Priebe instructed Applicants' attorney that the PAIR system was exhibiting

communication errors between the identification of incorrect responses and the triggering of

subsequent Office instruction. Therefore, Mr. Priebe indicated he would manually trigger a

response within the system and Applicants' attorney should await a new Notice of Defective

Response. However, rather than receiving a new Notice of Defective Response, Applicants'

attorney received a Notice of Abandonment.

Applicant submits this petition under 37 CFR 1.137(b) to revive this unintentionally

abandoned application and encloses herewith:

1. Please charge Deposit Account No. 08-2461 the amount of \$1,540.00 to cover the

petition fee for a non-small entity; and

2. A proper reply in the form of an Amendment and Communication to Comply with

Notification of Defective Response

3. A copy of the Notification of Defective Response

A Terminal Disclaimer is not enclosed since this international application has an

international filing date after June 8, 1995.

In the event that any additional fees are due, the Patent Office is authorized to charge

Deposit Account No. 08-2461 for such fees.

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The entire delay in filing the correct response to the Notice of Defective Response from the due date until the filing of a grantable petition under 37 CFR 1.37(b) was unintentional.

Respectfully submitted,

Ellen N. Hollcroft

Registration No. 58,452 Attorney for Applicant(s)

HOFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 ENH:jp

290248_1.DOC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Florack, et al. Examiner: Unassigned

Serial No.: 10/574,888 Group Art Unit: Unassigned

Filed: April 7, 2006 Docket No.: 294-248 PCT/US

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For: CHIMERIC CARRIER MOLECULES FOR THE

PRODUCTION OF MUCOSAL VACCINES

Date: March 12, 2008

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Signature

AMENDMENT AND COMMUNICATION TO COMPLY WITH NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to a Notification of Defective mailed October 2, 2007, Applicants enclose herewith the following:

- 1. A substitute CD containing the sequence listing in computer-readable form (CRF);
 - 2. A substitute paper copy of the sequence listing; and
 - 3. Copy of Notification of Abandonment.

According examiner Scott Priebe, the initial response to the notice was inadequate in that sequences 4 and 5 stated "Nucleic Acid Sequence" rather than "Artificial Sequence" and there was no <220> or <223> as required.

Under 37 C.F.R. 1.821(f), the Applicants' Attorney hereby states that the contents of the substitute computer readable form and the substitute paper copy filed herewith are the same.

Applicant(s): Florack, et al. Serial No.: 10/574,888 Filed: April 7, 2007 Amendment and Communication to Comply

with Notification of Defective Response

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Under 37 C.F.R. §1.821(g), the Applicants' Attorney also states that the substitute computer readable form and paper copy of the sequence listing do not include any new matter.

Accordingly, it is respectfully requested that the substitute computer readable form and paper copy of the sequence listing be entered into the application.

Respectfully submitted,

Ellen N. Hollcroft Registration No. 58,452

Attorney for Applicant(s)

HOFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (516) 822-3550 ENH:jp

288848_1.DOC



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. D. Bux 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO 294-248 PCT/US Dionisius Elisabeth Antonius Florack 10/574,888 INTERNATIONAL APPLICATION NO. PCT/NL04/00708 23869 HOFFMANN & BARON, LLP PRIORITY DATE I.A. FILING DATE 6900 JERICHO TURNPIKE 10/09/2003 10/08/2004 SYOSSET, NY 11791 **CONFIRMATION NO. 3362** 371 ABANDONMENT/TERMINATION

Date Mailed: 02/21/2008

NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

Sequence Listing, filed 10/03/07 could not be accepted. See attached CRFD dated 02/11/08

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

Sequence Listing could not be accepted.

If you need help call the Patent Electronic Business Center at (866) 217-9197 (toll free).

Reviewer: Durreshwar Anjum

Timestamp: [year=2007; month=12; day=4; hr=9; min=53; sec=59; ms=804;]

Reviewer Comments:

Seq Id 4 and 5

Invalid response for <213>, If <213> responses are Aritificial or Unknown please give the source of genetic material.

Validated By CRFValidator v 1.0.3

Application No:

10574888

Version No:

2.0

Input Set:

Output Set:

Started: 2007-11-14 19:18:33.328

Finished: 2007-11-14 19:18:35.056

Elapsed: 0 hr(s) 0 min(s) 1 sec(s) 728 ms

Total Warnings: 18

Total Errors: 0

No. of SeqIDs Defined: 18

Actual SeqID Count: 18

Error code		Error Description
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W	213	Artificial or Unknown found in <213> in SEQ ID (2)
W	402	Undefined organism found in <213> in SEQ ID (3)
W	402	Undefined organism found in <213> in SEQ ID (4)
M	402	Undefined organism found in <213> in SEQ ID (5)
W	213	Artificial or Unknown found in <213> in SEQ ID (6)
W	402	Undefined organism found in <213> in SEQ ID (7)
W	402	Undefined organism found in <213> in SEQ ID (8)
W	213	Artificial or Unknown found in <213> in SEQ ID (9)
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W	402	Undefined organism found in <213> in SEQ ID (16)
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SEQUENCE LISTING

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<151> 2004-10-08
<150> EP 03078192.6
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294-248 3PCT US_ST25.txt SEQUENCE LISTING

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294-248 3PCT US_ST25.txt

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HOFFMANN & BARON, LLP

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